### EXHIBIT A

#### **RECORDS CERTIFICATION**

I. Brent C. Gibbard, am the Veterans Service Center Manager of the Veterans Affairs Regional Office (VARO), St. Petersburg, Florida, a facility of the Department of Veterans Affairs of the United States of America (VA). Claims for benefits payable by VA to veterans in the State of Florida are adjudicated under my supervision. As Service Center Manager, I am the custodian of the VARO records concerning the payment of VA benefits to veterans in the State of Florida. In my official capacity as Veterans Service Center Manager, I hereby certify that the enclosed documents are true, correct and complete photographic reproductions and/or computer printouts made by the Department of Veterans Affairs in the regular course of business of the original VA records at the said facility concerning the payment of VA benefits to veteran Samuel P. Houston, CSS 262-27-9543, which are maintained in writing or in electronic record keeping systems, which are required or authorized to be made, filed or recorded with the U.S. Department of Veterans Affairs, and which are legally releasable. I also certify that I am authorized by law to make this certification and in doing so am acting within the scope of my authority. This certification is made so that, pursuant to Florida Statutes §§ 90.902. 92.29 and 92.32, the enclosed certified copies will be admissible in evidence in the courts of the State of Florida without extrinsic evidence of authenticity. This certification is also made so that, pursuant to rules 902 and 1005 of the Federal Rules of Evidence and Title 28 United States Code § 1746, the enclosed certified copies will be admissible in evidence in the courts of the United States without extrinsic evidence of authenticity.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on April 11, 2007

Brent C. Gibbard

Veterans Service Center Manager

U.S. Department of Veterans Affairs

Regional Office

P.O. Box 1437

St. Petersburg, Florida 33731

OMB Approved No. 2900-0404 Respondent Burden; 45 minutes

Department of Veterans Affairs	\	/ETERAN	'S APPLIC		R INCREA		
NOTE: This is a claim for compensation benefits bas connected disability(ies) which has/have prevented you	ed on unemployabili	ty. When you	complete this for	m you are claim occupation. Ans	ing total disability	because of a service- fully and accurately.	
	2. VETERAN'S SOCIAL SECURITY NUMBER				3. DATE OF BIRTH		
4. NAME OF VETERAN (First, Middle, Last) (Type or Print)	int)   5. ADDRESS OF CLAIMANT (No. and street or			or nural route, city	rural route, city or P.O., State and ZIP Code)		
	4						
Samuel P. Houston	. (	Cresty	riew 2	۱.			
SE	CTION I - DISABI	LITY AND ME	DICAL TREATM	ENT			
FROM SECURING OR FOLLOWING ANY SUBSTANTIALLY GAMPUL OCCUPATION?	7. HAVE YOU BEEN UNDER A DOCTOR'S CARE AND/OR HOSPITALIZED WITHIN THE PAST 12 MONTHS?				B, DATE(S) OF TREATMENT BY DOCTOR(S)		
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350 Racetrack Rd gt. Walton Beach 30.	21 ELIT	It dish	Itm Boh	y nov,	10-13,0	2004	
Ju Waller Black See	SECTION II - E	MPLOYMENT	STATEMENT	0000 7			
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17. DID YOU LEAVE YOUR LAST JOB/SELF-EMPLOYMENT BECAUSE OF YOUR DISABILITY?  18. DO YOU RECEIVE/EXPECT TO RECEIVE/DISABILITY RETIREMENT BENEFITS?		19. DO YOU RECEIVE/EXPECT TO RECEIVE WORKERS COMPENSATION BENEFITS?					
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				S	iam Houston/L3 ( 399	Communications	

SECTION III - SCHOOLING AND OTHER TRAINING				
21. EDUCATION (Circle highest year completed)	•	-		
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22A, DID YOU HAVE ANY OTHER EDUCATION AND TRAINING BEFORE YOU WERE TOO DISABLED TO WORK?	•			
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	22C. DATES	OF TRAINING		
22B. TYPE OF EDUCATION OR TRAINING	BEGINNING	COMPLETION		
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23A. HAVE YOU HAD ANY EDUCATION AND TRAINING SINCE YOU BECAME TOO DISABLED TO WORK?				
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23B, TYPE OF EDUCATION OR TRAINING		OF TRAINING		
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24. REMARKS 15				
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SECTION IV - AUTHORIZATION, CERTIFICATION, AND SIGNA	TURE			
- The same of the	entist, or hospital that ha	s treated or examined me		
for any purpose or that I have consulted professionally may furnish to VA any information about myself and I v	vaive any privilege whic	n makes this information		
confidential.	the analogod ma for	the next five years may		
AUTHORIZATION FOR RELEASE OF EMPLOYMENT INFORMATION: I consent that any employer that furnish to VA any information about myself and I waive any privilege which makes this information confidential.	i has employed the for	the hast tive years may		
	am unable to secure or	follow any substantially		
CERTIFICATION OF STATEMENTS: I CERTIFY THAT as a result of my service connected disabilities, I gainful occupation and that the statements in this application are true and complete to the best of my knowledge	Wild Detter with nitroline	and that these statements		
will be considered in determining my eligibility for VA benefits based on unemployability because of service-confidence.	cieu disacraty.			
UNDERSTAND THAT IF I AM GRANTED SERVICE-CONNECTED TOTAL DISABILITY BENEFITS B	ASED ON MY UNEMI	PLOYABILITY, THAT I		
I UNDERSTAND THAT IF I AM GRANTED SERVICE-CONNECTED TOTAL DISABILITY BENEFITS BY MUST IMMEDIATELY INFORM VA IF I RETURN TO WORK, I ALSO UNDERSTAND THAT TOTAL DISABILITY BEGIN WORK MAY BE CONSIDERED AN OVERPAYMENT REQUIRING REPAYMENT TO VA.				
25. SIGNATURE OF CLANGOT 27. TELE	PHONE NUMBER(S)	Include Area Code) HTTIME		
A. DAYTIME	B. NIGI	THE STREET		
3/15/06 (Car)	must be witnessed by t	wa persons to whom the		
WITNESS TO SIGNATURE OF CLAIMANT IF MADE BY "X" MARK. NOTE: Signature made by mark must be witnessed by two persons to whom the person making the statement is personally known and the signature and address of such witnesses must be shown below.				
28A. SIGNATURE OF WITNESS 28B. ADDRESS OF WITNESS		······································		
	——— oom Hausto	n/L3 Communications		
29A. SIGNATURE OF WITNESS 29B. ADDRESS OF WITNESS	Sam nousto	3997		
PENALTY: The law provides severe penalties which include fine or imprisonment or both for the willful submission of any statement or evidence of a material				
fact, knowing it to be false or for the fraudition acceptance of any physician in which you are the chineses.  They may be disclosed outside VA only if the				
PRIVACY ACT INFORMATION: The responses you submit are considered confidential (38 U.S.C. 5701). They may be disclosed outside VA only if the disclosure is authorized under the Privacy Act, including the routine uses identified in the VA system of records, 58VA21/22, Compensation, Pension, Education disclosure is authorized under the Privacy Act, including the routine uses identified in the VA system of records, 58VA21/22, Compensation, Pension, Education disclosure is authorized under the Privacy Act, including the routine uses identified in the VA system of records, 58VA21/22, Compensation, Pension, Education				
disclosure is authorized under the Privacy Act, including the fourthe uses identified in the VA system of records, and necessary to determine maximum and Rehabilitation Records - VA, published in the Federal Register. The requested information is considered relevant and necessary to determine maximum benefits provided under the law. Information submitted is subject to verification through computer matching programs with other agencies.				
to this collection of information toless it displays a valid				
OMB Control Number. Public reporting burden for this conception of internation is estimated and completing	a and reviewing the coil	ection of information. If		
oMB Control Number. Public reporting burden for this contention of information is community reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing you have comments regarding this burden estimate or any other aspect of this collection of information, call 1-80	0-827-1000 for mailing	information on where to		
send your comments.				

### COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317)

### Thomas J. Manski, M.D., P.A.

Board Certified Neurosurgeon 350 Racetrack Road Fort Walton Beach, FL 32547

DEA # BM6436251	Tel: (850) 863-2300 Fax: (850) 863-2369
NAME Houston, Same	9 les
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Marahi	M.D.

### ARMY FLEET SUPPORTILC

Case 1:06-cv-00243-MEF-@SRSQNNEU4@PIO45-2 Filed 05/11/2007 Page 6 of 19

EXTRA FED TAX:

UNIT CODE:

MILITARY ERA:

EFFECTIVE DATE:

EFFECTIVE DATE:

ACCT NO:

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PENSION ELIGIBILITY

DEP HEALTH INS: **DEP DENTAL INS:9** 

4:

EXTRA STATE TAX:

ABSENT HOURS: 977.5

TEMPORARYEMPLOYEE:

SENIORITY DATE: 02/25/02

RECLASSIFICATION DATE: 02/25/02

0.00

0.00

VETERAN OTHER:

COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317)

P HOUSTON NAME: SAMUEL

BADGE NUMBER: 014332 SUPERVISOR: REDDICK RD

SKILL: 01A AIRCRAFT MECHANIC

DEPARTMENT: 40 INACTIVE EMPLOYEES-EXTENDEDLNESS DIRECT

LOCATION: OZ INACTIVE DEPT-DONNEL SHIFT: 2

PAYROLL DATE: 03/14/05 EFFECTIVE DATE: 03/14/05

REASONFOR ACTION:9 ADMINISTRATIVE TERMINATION EFF 03/14/05

LMB

**TERMINATION CODE:** S

BASE RATE: 19.26000 + BONUS: 0.00 = HRLY RATE: 19.26000 ANN SAL:

FED TAX CODE: 101 = M01STATE TAX CODE: 102 = M02 COMPANYHIRE DATE: 12/01/03

ACCUMULATEDHRS TO AUTO INC: 1120.0

**AUTOMATIC INCREASE DATE:** 

RESERVE/GUARD:

MILITARY RANK: E VETERAN: H

GOVERNMENTSERVICE:

3IRTHDATE:

SEX: A1

3LOOD TYPE: 0+ HANDICAPPED:

MARITAL STATUS: M

LEADER: AIRFRAME:

POWERPLANT:

FLIGHT MECH: CREW CHIEF:

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CREW MEMBER:

**FAXI** RUNUP:

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IAMAW MEMBER: A

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APPROVED: .

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WORKPHONE: (000) 000-0000

CRESTVIEW

FLDARLENE SANDERS

Sam Houston/L3 Communications

COMPANYCONFIDENTIAL ARMY FLEET SUPPORTLLC 01-212

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ase 1:06-cv-00243-MEF-CSC Document 45-2 Filed 05/11/2007 Page 7 of 19 COPIED FROM CLAIMS FORDER DEPARTMENT OF VETERANS AFFAIRS (317) NAME: SAMUEL Ρ HOUSTON BADGENUMBER: 014332 SUPERVISOR: REDDICK RD SKILL: 01A AIRCRAFT MECHANIC DEPARTMENT: 40 INACTIVE EMPLOYEES-EXTENDEDLNESS DIRECT LOCATION: 02 INACTIVE DEPT-DONNEL SHIFT: 2 PAYROLL DATE: 03/14/05 EFFECTIVE DATE: 03/14/05 REASON FOR ACTION: ADMINISTRATIVE TERMINATION EFF 03/14/05 LMB OPT DEP INS:D VISION PLN:0 DEP HEALTH INS: BASIC DEP INS: DEP DENTAL INS:D BAS EMP LIFE: OPT LIFE INS: D OPT AD/D INS: BENEVOLENCEAMT: 0.00 ÉLIGIBILITY PERSONALACCIDENT INSURANCE STATUS: D DATE: BENEFIT AMT: 0.00 IAMAW MEMBER: D

> COMPANYCONFIDENTIAL ARMY FLEET SUPPORTILC 01-212

ED BROWN

APPROVED:

\_DARLENE SANDERS

### Case 1:06-cv-00243-MEF-CSC Document 45-2 Filed 05/11/2007 Page 8 of 19

### COPIED FROM CLAIMS FOR DEPORTMENT OF VETERANS AFFAIRS (317)

PERSONNEL STATUS CHANGE REQUEST

03/15/05 10:07

NAME: HOUSTON

SAMUEL

EMPLOYEE NUMBER: 014332

EFFECTIVE DATE: 03/14/05 LOCATION: INACTIVE DEPT-DONNE HIREDATE: 02/25/02

THRU:

DEPARTMENT: 40-INACTIVE EMPLOYEES-EXTENDED ILLNESS DIRECT

CLASSIFICATION: 01A-AIRCRAFT MECHANIC

RECLASSIFICATION:

FROM

TRANSFER:

TO

FROM

VAC PREV APPROVED:

REST. DUTY:

PREV SHIFT START :

SHIFT CHANGE: FROM

PROBATIONARY EMPLOYEE:

TO

(REFERENCE CBA OVERTIME PROVISIONS)

OT PROJECT TRANSFER - HOURS TRANSFERRED:

0.0 FROM:

TO:

CURRENT HOME PHONE: ( )

HOURS = 0.0

TERMINATION: S LAST DAY WORKED:

REASON FOR CHANGE: 9 INVOLUNTARY TERMINATION

APPROVED:

SUPERVISOR

APPROVED: K. Kead

DEPARTMENT HEAD

THIS SECTION MUST BE COMPLETED PRIOR TO TERMINATION OR TRANSFER OF AN EMPLOYEE FROM ONE DEPT. OR AREA TO ANOTHER. TRANSFERRING EMPLOYEE MUST PRESENT A COPY OF THIS FORM TO GAINING ACTIVITY

1. DEPARTMENT

SUPPLY TOOL CRIB

INSPECTOR STAMP KEYS/EQUIPMENT **FUBLICATIONS** 

FLIGHT CLOTHING

2. PERSONNEL: M

OPERATOR PERMITS AUTHORIZATIONS

SECURITY/TAP

· BOOK

MALT CALL

3. CHARGES:

REMARKS.

EMPLOYEE SIGNATURE:

".SURIBUTION:

FILE.

CYEE

G ACTIVITY

TRAINATION OULTY

Sam Houston/L3 Communications 4001

03~2.

· Trsen 01/1

### **RETURN TO WORK SLIP**

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/ILA	OTJ Injury (use only if no i	Medical Pass)
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<del>K</del>	hours of shift start time	e nor during shift**
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when scheduling or p sked and refused, th	olling for overtime. If asked, the e e employee is not charged.	employee must refuse the
<del></del>		
ntative <u>Pl</u>	my West	ick 015102
		Form 01-288 Rev. 1/30/04
	Sam	Houston/L3 Communications 4002
ethod: 🔲 Email	☐ Fax ☐ Phone	
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	NUMBER  O14332  NO RESTRICT  OM RESTRICT  OM RESTRICT  ON	NUMBER CLASSIFICATION  O14332 AC Mach.  NO RESTRICTIONS on  From RESTRICTED/LIGHT DUTY on  23-14-05 with the for  pestriction(s)? Can Zannot  ame/title): Don Donley  Larry barkin - Ca  Bob Chipman - Kn  Aken within 6 hours of shift start time  of to light duty will not be entitled to work overtime in a nel receives a statement from the doctor stating the extended and refused, the employee is not charged.  Sam

### ase 1:06-cv-00243-MET-CSC Document 45-2 Filed 05/11/2007

## Board Certified Neurosurgeon Spine and Brain Surgery



January 13, 2005

RE: HOUSTON, Samuel

DOB: 02/28/19554

To whom it may concern,

I am writing this letter to outline my assessment and treatment of low back pain and lower extremity pain that my patient, Mr. Samuel Houston, has experienced.

I initially saw Mr. Houston on October 13, 2004 when he presented with severe left low back pain, left buttock pain, left posterior thigh pain, and distal left lower extremity pain and paresthesias. Prior to this, Mr. Houston had a past medical history that was significant for a very long history of low back pain and right leg pain, dating back to approximately 1977 with the patient having had a 22-year history of back pain and right leg pain.

While the patient was still on active duty in the Air Force, he finally underwent surgery for his low back at Kessler Air Force Base around January of 2000. At that time, the patient, by report, underwent an L4-5 discectomy for right-sided sciatica and a foot-drop.

The patient did have improvement in his low back pain and right lower extremity symptoms following that operation.

The patient then had the more recent new onset of left low back pain and left lower pain, paresthesias and weakness in August of 2004. An MRI of the lumbosacral spine from September 7, 2004 showed disc bulge/protrusions and disc/osteophyte complexes from L2-3 down through L5-S1. At L4-5, there was a broad-based disc bulge/protrusion with posteromarginal osteophytes and facet hypertrophy, resulting in significant spinal stenosis and significant lateral recess stenosis. The patient also had scar tissue and adhesions from previous surgery at L4-5.

Mr. Houston underwent microscopic decompressive laminectomies, medial facetectomies, and foraminotomies at L3, L4, L5 and S1 to decompress the nerve roots and thecal sac, along with a re-do left L4-5 microdiscectomy to decompress the left L4 and L5 nerve roots with neurolysis of scar tissue and adhesions from previous spinal surgery on November 10, 2004.

He has had improvement in his left lower extremity since this most recent surgery.

Mr. Houston still continues to have muscle spasms and aching in his lower back, particularly when he has been up on his feet for any significant period of time. Mr. Houston has been on a

Sam Houston/L3 Communications

### Case 1:06-cv-00243-MEF-CSC Document 45-2 Filed 05/11/2007 Page 11 of 19 COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317)

Page 2 HOUSTON, Samuel 01/13/2005

long course of narcotic analgesics including fentanyl patches and he is on a tapering dose of these narcotic fentanyl patches.

Mr. Houston also gets intermittent paresthesias radiating into his right upper extremity involving the second, third, fourth and fifth digits and also some intermittent shock-like sensations radiating from his neck down into his spine.

I would recommend Mr. Houston not return to doing heavy mechanical aircraft work as he has had two disc herniations at L4-5 requiring surgery and he would be at increased risk for recurrent disc herniations if he were to perform strenuous, heavy physical activities that might strain or injure the lower back.

Furthermore, Mr. Houston does have MRI evidence of disc/osteophyte complexes from L2-3 down through L5-S1, and additional stresses and strains on the lower back might cause progression and disease of some of those disc levels, as well.

I would recommend Mr. Houston be retrained for a position that would allow him a more sedentary job so as to avoid any additional stresses and strains that might re-injure his lower back or cause further injury to other degenerated disc levels.

I appreciate your kind consideration of my patient.

Sincerely,

Thomas J. Manski, M.D.

TJM/mlw

#### Nepartment of Veterans Affairs

### STATEMENT IN SUPPC T OF CLAIM

CUPIED FROM CLAIMS FOLDER DEPARTMENT

PRIVACY ACT INFORMATION: The law authorizes us to request the information we are asking you to provide on this form (38 U.S.C. 501(a) and (b)). The responses you submit are considered confidential (38 U.S. 5701). They may be disclosed outside the Department of Veterans Affairs (VA) only if the disclosure is authorized by the Privacy Act, Including the routine uses identified in the VA system of records., 58VA21/22, Compensation, Pension, Education and Rehabilitation Records. VA, published in the Federal Register. The requested information is considered relevant and indecessary to determine maximum benefits under the law. Information submitted is subject to verification through computer matching programs with other agencies.

RESPONDENT BURDEN: VA may not conduct or sponsor, and respondent is not required to respond to this collection of information unless it displays a valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments regarding this burden estimate or any other aspect of this collection of information, call 1-800-827-1000 for mailing information on where to send your comments.

FIRST NAME - MIDDLE NAME - LAST NAME OF VETERAN (Type or print)

SOCIAL SECURITY NO.

VA FILE NO.

Samuel P. Houston

C-2

The following statement is made in connection with a claim for benefits in the case of the above-named veteran:

- 1.1 am asking that my previously denied claim for s/c and appropriate compensation due to Diabetes Type II be reopened and the new evidence submitted from Dr. Juan A. Cruz, Capt., USAF, MC, Staff Internist, 96th Medical Group (AFMC) Eglin Hospital, Eglin AFB., FL. 32542, his phone number is (850) 883-8333/8332. Will be consider New and Material.
- 2. Request temporary 100% rating for period of convalescence from Left Shoulder Rotator Cuff surgery that was done 09/15/2005, my physical therapy will continue for at least three months depending upon progress and healing. I have already faxed Dr. Leon Chen, M.D., Orthopedic Surgeon, and his reports and follow-up office visits,

Please Adjudicate my claim on evidence enclosed and a DVA C/P exam.



I CERTIFY THAT e true and correct to the best of my knowledge and belief.

SIGNATURE

DATE SIGNED

10UruBa 2005

TELEPHONE NUMBERS (Include Area Code)

DAYTIME

**EVENING** 

Crestylew. FL.

PENALTY: The law provides severe penalties which include fine or imprisonment, or both, for the willful submission of any statement or evidence of a material fact, knowing it to be false.

21-4138

EXISTING STOCKS OF VA FORM 21-4138. APR 1994.

WILL BE USED.

OMB Approved No. 2900-0075
COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIR Separation 15 minutes

### STATEMENT IN SUPPORT OF CLAIM Department of Veterans Affairs PRIVACY ACT INFORMATION: The law authorizes us to request the information we are asking you to provide on this form (38 U.S.C. 501(a) and (b)). The responses you submit are considered confidential (38 U.S.C. 5701). They may be disclosed outside the Department of Veterans Affairs (VA) only if the disclosure is authorized under the Privacy Act, including the routine uses identified in the VA system of records, 58VA21/22, Compensation, Pension, Education and Rehabilitation Records - VA, published in the Federal Register. The requested information is considered relevant and necessary to determine maximum benefits under the law. Information submitted is subject to verification through computer matching programs with other agencies. RESPONDENT BURDEN: VA may not conduct or sponsor, and respondent is not required to respond to this collection of information unless it displays a valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments regarding this burden estimate or any other aspect of this collection of information, call 1-800-827-1000 for mailing information on where to send your FIRST NAME - MIDDLE NAME - LAST NAME OF VETERAN (Type or print) SOCIAL SECURITY NO. VA FILE NO. C/CSS The following statement is made in connection with a claim for benefits in the case of the above-named veteran: FILE Eρ ADJ. IN Sam Houston/L3 Communications 4076 (CONTINUE ON REVERSE) I CERTIFY THAT the stafenpents In this form are true and correct to the best of my knowledge and belief. DATE SIGNED SIGNATURE TELEPHONE NUMBERS (Include Area Code) **ADDRESS EVENING** DAYTIME

PENALTY: The law provides severe penalties which include fine or imprisonment, or both, for the willful submission of any statement or evidence of a material fact, knowing it to be false.

### Se 1:06-cy-00243-MEF-CSC Document 45-2 Filed 05/11/2007 Page 14 of 19 COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317)

#### HEADQUARTERS 96TH AIR BASE WING (AFMC) EGLIN AIR FORCE BASE FLORIDA

MEMORANDUM FOR: Veterans Administration

Sept 21, 2005

FROM: Juan A. Cruz, Capt., USAF, MC

SUBJECT: Service connection for Type II Diabetes

After reviewing Mr. Samuel P. Houston medical records, it is my professional opinion that Mr. Houston had early signs of Glucose Intolerance while he was on active duty in 1997.

Left untreated between 1997 and 2001, the natural progression of his Glucose Intolerance condition developed into Type II Diabetes with neurological manifestations.

Thank You

Juan A. Cruz, Capt., USAF, MC

DEA BC7450240-011734

**Staff Internist** 

96 Medical Group (AFMC) Eglin Hospital

Eglin AFB, FL

Comm: (850) 883-8333/8332

DSN: 875-8333/8332 FAX: (850) 883-8597



### COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317) DEPARTMENT OF THE AIR FORCE

HEADQUARTERS 96TH AIR BASE WING (AFMC)
EGLIN AIR FORCE BASE FLORIDA

MEMORANDUM FOR: Veterans Administration

Sept 21, 2005

Page 15 of 19

FROM: Juan A. Cruz, Capt. USAF, MC

SUBJECT: Individual Unemployability for Mr. Houston

Mr. Samuel P. Houston is currently under my care for the treatment of Spinal Stenosis, Lumbar Degenerative Disc Disease, Type II Diabetes Mellitus, Peripheral Neuropathy, Chronic pain disorder, Left Rotator Cuff Repair x 3, L-4, L-5 Ruptured Disc Repair x 4 with a 2% foot drop, Depression and Anxiety.

In my professional opinion, Mr. Samuel P. Houston is permanently disabled due to the above disorders and the natural progression of these diseases.

Mr. Houston has been given the following restrictions on March 14<sup>th</sup> 2005. No lifting more than 25 Lbs., no climbing, no standing more than 1 ½ hours, no prolonged sitting more than 1 ½ hours, no bending at the waist to lift, pull, twist, or push to prevent reinjury to lower back. These restrictions are permanent and will prevent Mr. Houston from ever returning to the work force.

Thank You

Juan A. Cruz, Capt., USAF, MC

DEA BC7450240-011734 Staff Internist

96th Medical Group (AFMC) Eglin Hospital

Eglin AFB, FL

Comm: (850) 883-8333/8332

DSN: 875-8333/8332 FAX: (850) 883-8597

### COPIED FROM CLAIMS FOLDER DEPARTMENT OF VETERANS AFFAIRS (317)

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# DEPARTMENT OF VETERANS AFFAIRS St. Petersburg Regional Office PO BOX 1437 St. Petersburg, FL 33731

Samuel P. Houston

VA File Number

Represented by: DISABLED AMERICAN VETERANS

Rating Decision December 6, 2005

INTRODUCTION

The records reflect that you are a veteran of the Peacetime and Gulf War Era. You served in the Air Force from March 13, 1977 to May 31, 2001 and from May 13, 1977 to May 31, 2001. You filed a claim for increased evaluation that was received on September 27, 2005. Based on a review of the evidence listed below, we have made the following decisions on your claim.

#### DECISION

- 1. Entitlement to individual unemployability is granted effective October 7, 2004.
- 2. A temporary evaluation of 100 percent has been assigned effective September 15, 2005 based on surgical or other treatment necessitating convalescence for your service connected status post left shoulder arthroscopic subacromial decompression and open rotator cuff repair. An evaluation of 10 percent is assigned from January 1, 2006.

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- 3. Entitlement to special monthly compensation based on housebound criteria being met is granted from September 15, 2005 to January 1, 2006.
- 4. Evaluation of lumbar degenerative disc disease, status post L4-L5 discectomy with surgical scar, which is currently 40 percent disabling, is continued.
- 5. Basic eligibility to Dependents' Educational Assistance is established from October 7, 2004.
- 6. A decision on entitlement to compensation for type II diabetes mellitus is deferred.

#### **EVIDENCE**

- Private medical reports, Lamvien Q. Nguyen, M.D., of Niceville, FL, dated June 14, 2005 through October 4, 2005
- Employment information, Army Fleet Support of Fort Rucker, AL, received September 8, 2005
- Private medical reports, Dr. Juan A. Cruz of Eglin Air Force Base, FL, dated September 21, 2005
- Private medical reports, Leo Chen, M.D. of Ft. Walton Beach, FL, dated October 12, 2005
- Private medical reports, Jennifer Hodges, MPT, of Crestview, FL, dated October 18, 2005

#### REASONS FOR DECISION

#### 1. Entitlement to individual unemployability.

Entitlement to individual unemployability is granted effective October 7, 2004.

Private medical reports, Lamvien Q. Nguyen, M.D., of Niceville, FL, dated June 14, 2005 through October 4, 2005, note you stated you have a constant burning and aching in the low back that radiates down your back posterior laterally to the foot. The examiner noted you are severely affected because of the pain and the pain interferes with the following activities including working, household chores, recreational hobbies, sexual relations, physical exercise, driving, self-care, and shopping. You are receiving lumbar epidural injections and radiofrequency ablation of the right lumbar medial nerves for the pain.

Employment information, Army Fleet Support of Fort Rucker, AL, received September 8, 2005, notes you have been out on disability since September 7, 2004.

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Private medical reports, Dr. Juan A. Cruz of Eglin Air Force Base, FL, dated September 21, 2005, note you are under Dr. Cruz's care for the treatment of spinal stenosis, lumbar degenerative disc disease, Type II diabetes mellitus, peripheral neuropathy, chronic pain disorder, left rotator cuff repair x3, L-4,L-5 ruptured disc repair x4 with a 2 percent foot drop, and depression and anxiety. The doctor stated that in his professional opinion you are permanently disabled due to the above disorders and the natural progression of these diseases. The doctor further stated that the restrictions required to prevent reinjury to your lower back are permanent and will prevent you from ever returning to the work force.

Private medical reports, Leo Chen, M.D. of Ft. Walton Beach, FL, dated October 12, 2005, note the doctor stated in his professional opinion, that with the combination of your lumbar spine disorder and recurrent left shoulder difficulties, you would be unable to perform heavy mechanical aircraft mechanic work. Your restrictions would be no lifting, pulling, pushing, or carrying over 30 pounds. The doctor further stated that these restrictions are permanent and a return to any strenuous heavy physical work activity may re-injure your left shoulder and further cause permanent pain and dysfunction in the patient's upper extremities.

Entitlement to individual unemployability is granted effective October 7, 2004, as it is conceded you are likely unable to secure or follow a substantially gainful occupation solely as a result of your service connected lumbar degenerative disc disease, status L4-L5 discectomy with surgical scar, status post left shoulder arthroscopic subacromial decompression and open rotator cuff repair, and pain disorder. The effective date is the date you first met the schedular requirements for entitlement to individual unemployability, because your reopened claim was received within one year of this date.

### 2. Entitlement to a temporary total evaluation because of treatment for a service-connected condition requiring convalescence.

A temporary evaluation of 100 percent has been assigned effective September 15, 2005 based on surgical or other treatment necessitating convalescence for your service connected status post left shoulder arthroscopic subacromial decompression and open rotator cuff repair. An evaluation of 10 percent is assigned from January 1, 2006.

Private medical reports, Leo Chen, M.D. of Ft. Walton Beach, FL, dated October 12, 2005, note you underwent your third left shoulder surgery and rotator cuff repair in September of 2005. The doctor further stated you are currently inn the midst of physical therapy for your most recent surgery.

Private medical reports, Jennifer Hodges, MPT, of Crestview, FL, dated October 18, 2005, note you have been seen for a total of 6 visits for physical therapy for your status post left shoulder rotator cuff repair performed on September 15, 2005. You were evaluated for physical therapy on September 29, 2005, and per Dr. Chen's protocol,

PRIVACY ACT INFORMATION: The law authorizes us to request the information we are asking you to provide on this form (38 U.S.C.501(a) and (b)). The responses you submit are considered confidential (38 U.S.C.5701). They may be disclosed outside the Department of Veterans Affairs (VA) only if the disclosure is authorized under the Privacy Act, including the routine uses identified in the VA system of records, 58VA21/22. Compensation, Pension. Education and Rehabilitation Records - VA, published in the Federal Register. The requested information is considered relevant and necessary to determine maximum benefits under the law. Information submitted is subject to verification through computer matching programs with other agencies.

RESPONDENT BURDEN: VA may not conduct or sponsor, and respondent is not required to respond to this collection of information unless it displays a valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments regarding this burden estimate or any other aspect of this collection of information, call 1-800-827-1000 for mailing information on where to send your comments.

FIRST NAME . MIDDLE NAME - LAST NAME OF VETERAN (Type or print)	SOCIAL SECURITY NO.	VA FILE NO.
SAMUEL PETER HOUSTON		c/css
The following statement is made in connection with a claim for benefits in the case of the above-name My shoulder problem has deterribed to	the point wh	eve pain is
present consistently and very limited r	enge of motion	n is experien
due to further injury to my left sho	alder.	
I am enclosing the findings of an	MRI conducto	don 31-1-06
conducted in Crestview sit Open MRI A	ilso inclosed	is a letter
of medical gridings relating to my lest		
I would like to have my dis abilit	y rating for	my
shoulder increased to make disabil.	ty 100%.	
Further my creatile dystanction has		point
where my form of see is impossible.	this is cause	ing both
my wife in I I a great deal of mental	anquis of and	loccas
competibility. I find my problems >	re cousing i	ne to
devolop dyression, steer problems, mant		
to socialize I have been evaluated wit	h 30% for d	excresion
by the Wt on July 26,2005, I feel I	-am worse	at now
than I was then		
	W-AA-SHORE	
1 CERTIFY THAT the statements on this form are true and correct to the best of my knowledge and SIGNATURE	helief. DATE SIGNED	
Aff Juno	FEB 21, 20	006
DODARS	TELEPHONE NUMBER DAYTIME	15 (Include Area Code) EVENING
CRESTVIEW, FL,		
PENALTY: The law provides severe penalties which include fine or imprisonment, or both, for the water knowing it to be false.	illful autimission of any statemen	t or evidence of a material

VA FORM JUN 2000

21-4138

EXISTING STOCKS OF VA FORM 21-4138, APR 1894, WILL BE USED

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